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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/915,178

Filing Date 07/24/01

First Named Inventor Walke

Group Art Unit 1645

Examiner Name To Be Assigned

Total Number of Pages in This Submission 6

Attorney Docket Number LEX-0205-USA

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

return postcard
PTO 1449 & References Cited

Remarks 2 Binders

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Lance K. Ishimoto Reg. No. 41,866
Lexicon Genetics Incorporated

Signature

Lance K. Ishimoto by David W. HBLER
DAVID W. HBLER
REG. NO. 41,071

Date

November 26, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: November 26, 2001

Typed or printed name Nancy Stacey

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Nancy Stacey

Date

November 26, 2001

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#4



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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NOV 30 2001
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Applicant(s): Walke et al.

Application No.: 09/915,178

Filed: 07/24/01

Group Art Unit: 1645

Title: Novel Human Thymosin Protein and
Polynucleotides Encoding the Same

Examiner: To Be Assigned

Attorney Docket No.: LEX-0205-USA

Commissioner for Patents
Washington, D.C. 20231
Box DD

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Certification under 37 CFR 1.97(e), or
☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Certification under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)

believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

November 26, 2001
Date

Lance K. Ishimoto by David W. Hübler *DAVID W. HÜBLER*
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